

# ON A CLASS OF $h$ -FOURIER INTEGRAL OPERATORS

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ABSTRACT. In this paper, we study the  $L^2$ -boundedness and  $L^2$ -compactness of a class of  $h$ -Fourier integral operators. These operators are bounded (respectively compact) if the weight of the amplitude is bounded (respectively tends to 0).

## 1. INTRODUCTION

For  $\varphi \in \mathcal{S}(\mathbb{R}^n)$  (the Schwartz space), the integral operators

$$(1.1) \quad F_h \varphi(x) = \iint e^{\frac{i}{h}(S(x,\theta) - y\theta)} a(x, \theta) \varphi(y) dy d\theta$$

appear naturally in the expression of the solutions of the semiclassical hyperbolic partial differential equations and in the expression of the  $C^\infty$ -solution of the associate Cauchy's problem. Which appear two  $C^\infty$ -functions, the phase function  $\phi(x, y, \theta) = S(x, \theta) - y\theta$  and the amplitude  $a$ .

Since 1970, many efforts have been made by several authors in order to study these type of operators (see, e.g., [2, 7, 8, 5, 9]). The first works on Fourier integral operators deal with local properties. On the other hand, K. Asada and D. Fujiwara ([2]) have studied for the first time a class of Fourier integral operators defined on  $\mathbb{R}^n$ .

For the  $h$ -Fourier integral operators, an interesting question is under which conditions on  $a$  and  $S$  these operators are bounded on  $L^2$  or are compact on  $L^2$ .

It has been proved in [2] by a very elaborated proof and with some hypothesis on the phase function  $\phi$  and the amplitude  $a$  that all operators of the form:

$$(1.2) \quad (I(a, \phi) \varphi)(x) = \iint_{\mathbb{R}_y^n \times \mathbb{R}_\theta^N} e^{i\phi(x, \theta, y)} a(x, \theta, y) \varphi(y) dy d\theta$$

are bounded on  $L^2$  where,  $x \in \mathbb{R}^n$ ,  $n \in \mathbb{N}^*$  and  $N \in \mathbb{N}$  (if  $N = 0$ ,  $\theta$  doesn't appear in (1.2)). The technique used there is based on the fact that the operators  $I(a, \phi) I^*(a, \phi)$ ,  $I^*(a, \phi) I(a, \phi)$  are pseudodifferential and it uses Caldéron-Vaillancourt's theorem (here  $I(a, \phi)^*$  is the adjoint of  $I(a, \phi)$ ).

In this work, we apply the same technique of [2] to establish the boundedness and the compactness of the operators (1.1). To this end we give a brief and simple proof for a result of [2] in our framework.

We mainly prove the continuity of the operator  $F_h$  on  $L^2(\mathbb{R}^n)$  when the weight of the amplitude  $a$  is bounded. Moreover,  $F_h$  is compact on  $L^2(\mathbb{R}^n)$  if this weight tends

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to zero. Using the estimate given in [12, 13] for  $h$ -pseudodifferential ( $h$ -admissible) operators, we also establish an  $L^2$ -estimate of  $\|F_h\|$ .

We note that if the amplitude  $a$  is juste bounded, the Fourier integral operator  $F$  is not necessarily bounded on  $L^2(\mathbb{R}^n)$ . Recently, M. Hasanov [7] and we [1] constructed a class of unbounded Fourier integral operators with an amplitude in the Hörmander's class  $S_{1,1}^0$  and in  $\bigcap_{0 < \rho < 1} S_{\rho,1}^0$ .

To our knowledge, this work constitutes a first attempt to diagonalize the  $h$ -Fourier integral operators on  $L^2(\mathbb{R}^n)$  (relying on the compactness of these operators).

## 2. A GENERAL CLASS OF $h$ -FOURIER INTEGRAL OPERATORS

If  $\varphi \in \mathcal{S}(\mathbb{R}^n)$ , we consider the following integral transformations

$$(2.3) \quad (I(a, \phi; h)\varphi)(x) = \iint_{\mathbb{R}_y^n \times \mathbb{R}_\theta^N} e^{\frac{i}{h}\phi(x, \theta, y)} a(x, \theta, y) \varphi(y) dy d\theta$$

where,  $x \in \mathbb{R}^n$ ,  $n \in \mathbb{N}^*$  and  $N \in \mathbb{N}$  (if  $N = 0$ ,  $\theta$  doesn't appear in (2.3)).

In general the integral (2.3) is not absolutely convergent, so we use the technique of the oscillatory integral developed by Hörmander. The phase function  $\phi$  and the amplitude  $a$  are assumed to satisfy the following hypothesis:

(H1)  $\phi \in C^\infty(\mathbb{R}_x^n \times \mathbb{R}_\theta^N \times \mathbb{R}_y^n, \mathbb{R})$  ( $\phi$  is a real function)

(H2) For all  $(\alpha, \beta, \gamma) \in \mathbb{N}^n \times \mathbb{N}^N \times \mathbb{N}^n$ , there exists  $C_{\alpha, \beta, \gamma} > 0$

$$|\partial_y^\gamma \partial_\theta^\beta \partial_x^\alpha \phi(x, \theta, y)| \leq C_{\alpha, \beta, \gamma} \lambda^{(2-|\alpha|-|\beta|-|\gamma|)_+}(x, \theta, y)$$

where  $\lambda(x, \theta, y) = (1 + |x|^2 + |\theta|^2 + |y|^2)^{1/2}$  called the weight and

$$(2 - |\alpha| - |\beta| - |\gamma|)_+ = \max(2 - |\alpha| - |\beta| - |\gamma|, 0)$$

(H3) There exist  $K_1, K_2 > 0$  such that  $\forall (x, \theta, y) \in \mathbb{R}_x^n \times \mathbb{R}_\theta^N \times \mathbb{R}_y^n$

$$K_1 \lambda(x, \theta, y) \leq \lambda(\partial_y \phi, \partial_\theta \phi, y) \leq K_2 \lambda(x, \theta, y)$$

(H3\*) There exist  $K_1^*, K_2^* > 0$  such that,  $\forall (x, \theta, y) \in \mathbb{R}_x^n \times \mathbb{R}_\theta^N \times \mathbb{R}_y^n$

$$K_1^* \lambda(x, \theta, y) \leq \lambda(x, \partial_\theta \phi, \partial_x \phi) \leq K_2^* \lambda(x, \theta, y)$$

For any open subset  $\Omega$  of  $\mathbb{R}_x^n \times \mathbb{R}_\theta^N \times \mathbb{R}_y^n$ ,  $\mu \in \mathbb{R}$  and  $\rho \in [0, 1]$ , we set

$$\Gamma_\rho^\mu(\Omega) = \{a \in C^\infty(\Omega) : \forall (\alpha, \beta, \gamma) \in \mathbb{N}^n \times \mathbb{N}^N \times \mathbb{N}^n, \exists C_{\alpha, \beta, \gamma} > 0 : \\ |\partial_y^\gamma \partial_\theta^\beta \partial_x^\alpha a(x, \theta, y)| \leq C_{\alpha, \beta, \gamma} \lambda^{\mu - \rho(|\alpha| + |\beta| + |\gamma|)}(x, \theta, y)\}$$

When  $\Omega = \mathbb{R}_x^n \times \mathbb{R}_\theta^N \times \mathbb{R}_y^n$ , we denote  $\Gamma_\rho^\mu(\Omega) = \Gamma_\rho^\mu$ .

To give a meaning to the right hand side of (2.3), we consider  $g \in \mathcal{S}(\mathbb{R}_x^n \times \mathbb{R}_\theta^N \times \mathbb{R}_y^n)$ ,  $g(0) = 1$ . If  $a \in \Gamma_0^\mu$ , we define

$$a_\sigma(x, \theta, y) = g(x/\sigma, \theta/\sigma, y/\sigma) a(x, \theta, y), \quad \sigma > 0.$$

**Theorem 2.1.** *If  $\phi$  satisfies (H1), (H2), (H3) and (H3\*), and if  $a \in \Gamma_0^\mu$ , then*

1. *For all  $\varphi \in \mathcal{S}(\mathbb{R}^n)$ ,  $\lim_{\sigma \rightarrow +\infty} [I(a_\sigma, \phi; h)\varphi](x)$  exists for every point  $x \in \mathbb{R}^n$  and is independent of the choice of the function  $g$ . We define*

$$(I(a, \phi; h)\varphi)(x) := \lim_{\sigma \rightarrow +\infty} (I(a_\sigma, \phi; h)\varphi)(x)$$

2.  $I(a, \phi; h) \in \mathcal{L}(\mathcal{S}(\mathbb{R}^n))$  and  $I(a, \phi; h) \in \mathcal{L}(\mathcal{S}'(\mathbb{R}^n))$  (here  $\mathcal{L}(E)$  is the space of bounded linear mapping from  $E$  to  $E$  and  $\mathcal{S}'(\mathbb{R}^n)$  the space of all distributions with temperate growth on  $\mathbb{R}^n$ ).

*Proof.* see [8] or [12, proposition II.2].  $\square$

**Example 2.2.** Let's give two examples of operators of the form (2.3) which satisfy (H1) to (H3)\*:

- (1) The Fourier transform  $\mathcal{F}\psi(x) = \int_{\mathbb{R}^n} e^{-ixy} \psi(y) dy$ ,  $\psi \in \mathcal{S}(\mathbb{R}^n)$ ,
- (2) Pseudodifferential operators  $A\psi(x) = (2\pi)^{-n} \int_{\mathbb{R}^{2n}} e^{i(x-y)\theta} a(x, y, \theta) \psi(y) dy d\theta$ ,  
 $\psi \in \mathcal{S}(\mathbb{R}^n)$ ,  $a \in \Gamma_0^\mu(\mathbb{R}^{3n})$ .

### 3. ASSUMPTIONS AND PRELIMINARIES

We consider the special form of the phase function

$$(3.4) \quad \phi(x, y, \theta) = S(x, \theta) - y\theta$$

where  $S$  satisfies

- (G1)  $S \in C^\infty(\mathbb{R}_x^n \times \mathbb{R}_\theta^n, \mathbb{R})$ ,
- (G2) For each  $(\alpha, \beta) \in \mathbb{N}^{2n}$ , there exist  $C_{\alpha, \beta} > 0$ , such that

$$|\partial_x^\alpha \partial_\theta^\beta S(x, \theta)| \leq C_{\alpha, \beta} \lambda(x, \theta)^{(2-|\alpha|-|\beta|)},$$

- (G3) There exists  $\delta_0 > 0$  such that

$$\inf_{x, \theta \in \mathbb{R}^n} \left| \det \frac{\partial^2 S}{\partial x \partial \theta}(x, \theta) \right| \geq \delta_0.$$

**Lemma 3.1** ([11]). *Let's assume that  $S$  satisfies (G1), (G2), (G3). Then the function  $\phi(x, y, \theta) = S(x, \theta) - y\theta$  satisfies (H1), (H2), (H3) and (H3\*).*

**Lemma 3.2** ([11]). *If  $S$  satisfies (G1), (G2) and (G3), then there exists  $C_2 > 0$  such that for all  $(x, \theta), (x', \theta') \in \mathbb{R}^{2n}$ ,*

$$(3.5) \quad |x - x'| + |\theta - \theta'| \leq C_2 [ |(\partial_\theta S)(x, \theta) - (\partial_\theta S)(x', \theta')| + |\theta - \theta'| ]$$

when  $\theta = \theta'$  in (3.5), there exists  $C_2 > 0$ , such that for all  $(x, x', \theta) \in \mathbb{R}^{3n}$ ,

$$(3.6) \quad |x - x'| \leq C_2 |(\partial_\theta S)(x, \theta) - (\partial_\theta S)(x', \theta)|.$$

**Proposition 3.3.** *If  $S$  satisfies (G1) and (G2), then there exists a constant  $\epsilon_0 > 0$  such that the phase function  $\phi$  given in (3.4) belongs to  $\Gamma_1^2(\Omega_{\phi, \epsilon_0})$  where*

$$\Omega_{\phi, \epsilon_0} = \{(x, \theta, y) \in \mathbb{R}^{3n}; \quad |\partial_\theta S(x, \theta) - y|^2 < \epsilon_0 (|x|^2 + |y|^2 + |\theta|^2)\}.$$

*Proof.* We have to show that:  $\exists \epsilon_0 > 0, \forall \alpha, \beta, \gamma \in \mathbb{N}^n, \exists C_{\alpha, \beta, \gamma} > 0$ ;

$$(3.7) \quad \left| \partial_x^\alpha \partial_\theta^\beta \partial_y^\gamma \phi(x, \theta, y) \right| \leq C_{\alpha, \beta, \gamma} \lambda(x, \theta, y)^{(2-|\alpha|-|\beta|-|\gamma|)}, \quad \forall (x, \theta, y) \in \Omega_{\phi, \epsilon_0}.$$

- If  $|\gamma| = 1$ , then  $\left| \partial_x^\alpha \partial_\theta^\beta \partial_y^\gamma \phi(x, \theta, y) \right| = \left| \partial_x^\alpha \partial_\theta^\beta (-\theta) \right| = \begin{cases} 0 & \text{if } |\alpha| \neq 0 \\ \left| \partial_\theta^\beta (-\theta) \right| & \text{if } \alpha = 0 \end{cases}$  ;
- If  $|\gamma| > 1$ , then  $\left| \partial_x^\alpha \partial_\theta^\beta \partial_y^\gamma \phi(x, \theta, y) \right| = 0$ .

Hence the estimate (3.7) is satisfied.

If  $|\gamma| = 0$ , then  $\forall \alpha, \beta \in \mathbb{N}^n$ ;  $|\alpha| + |\beta| \leq 2$ ,  $\exists C_{\alpha, \beta} > 0$ ;

$$\left| \partial_x^\alpha \partial_\theta^\beta \phi(x, \theta, y) \right| = \left| \partial_x^\alpha \partial_\theta^\beta S(x, \theta) - \partial_x^\alpha \partial_\theta^\beta (y\theta) \right| \leq C_{\alpha, \beta} \lambda(x, \theta, y)^{(2-|\alpha|-|\beta|)}.$$

If  $|\alpha| + |\beta| > 2$ , one has  $\partial_x^\alpha \partial_\theta^\beta \phi(x, \theta, y) = \partial_x^\alpha \partial_\theta^\beta S(x, \theta)$ . In  $\Omega_{\phi, \varepsilon_0}$  we have

$$|y| = |\partial_\theta S(x, \theta) - y - \partial_\theta S(x, \theta)| \leq \sqrt{\varepsilon_0} \left( |x|^2 + |y|^2 + |\theta|^2 \right)^{1/2} + C_3 \lambda(x, \theta), \quad C_3 > 0.$$

For  $\varepsilon_0$  sufficiently small, we obtain a constant  $C_4 > 0$  such that

$$(3.8) \quad |y| \leq C_4 \lambda(x, \theta), \quad \forall (x, \theta, y) \in \Omega_{\phi, \varepsilon_0}.$$

This inequality leads to the equivalence

$$(3.9) \quad \lambda(x, \theta, y) \simeq \lambda(x, \theta) \text{ in } \Omega_{\phi, \varepsilon_0}$$

thus the assumption (G2) and (3.9) give the estimate (3.7).  $\square$

Using (3.9), we have the following result.

**Proposition 3.4.** *If  $(x, \theta) \rightarrow a(x, \theta)$  belongs to  $\Gamma_k^m(\mathbb{R}_x^n \times \mathbb{R}_\theta^n)$ , then  $(x, \theta, y) \rightarrow a(x, \theta)$  belongs to  $\Gamma_k^m(\mathbb{R}_x^n \times \mathbb{R}_\theta^n \times \mathbb{R}_y^n) \cap \Gamma_k^m(\Omega_{\phi, \varepsilon_0})$ ,  $k \in \{0, 1\}$ .*

#### 4. $L^2$ -BOUNDEDNESS AND $L^2$ -COMPACTNESS OF $F_h$

**Theorem 4.1.** *Let  $F_h$  be the integral operator of distribution kernel*

$$(4.10) \quad K(x, y; h) = \int_{\mathbb{R}^n} e^{\frac{i}{h}(S(x, \theta) - y\theta)} a(x, \theta) \widehat{d_h \theta}$$

where  $\widehat{d_h \theta} = (2\pi h)^{-n} d\theta$ ,  $a \in \Gamma_k^m(\mathbb{R}_{x, \theta}^{2n})$ ,  $k = 0, 1$  and  $S$  satisfies (G1), (G2) and (G3). Then  $F_h F_h^*$  and  $F_h^* F_h$  are  $h$ -pseudodifferential operators with symbol in  $\Gamma_k^{2m}(\mathbb{R}^{2n})$ ,  $k = 0, 1$ , given by

$$\begin{aligned} \sigma(F_h F_h^*)(x, \partial_x S(x, \theta)) &\equiv |a(x, \theta)|^2 \left| \left( \det \frac{\partial^2 S}{\partial \theta \partial x} \right)^{-1}(x, \theta) \right| \\ \sigma(F_h^* F_h)(\partial_\theta S(x, \theta), \theta) &\equiv |a(x, \theta)|^2 \left| \left( \det \frac{\partial^2 S}{\partial \theta \partial x} \right)^{-1}(x, \theta) \right| \end{aligned}$$

we denote here  $a \equiv b$  for  $a, b \in \Gamma_k^{2p}(\mathbb{R}^{2n})$  if  $(a - b) \in \Gamma_k^{2p-2}(\mathbb{R}^{2n})$  and  $\sigma$  stands for the symbol.

*Proof.* For all  $v \in \mathcal{S}(\mathbb{R}^n)$ , we have:

$$(4.11) \quad (F_h F_h^* v)(x) = \int_{\mathbb{R}^n} \int_{\mathbb{R}^n} e^{\frac{i}{h}(S(x, \theta) - S(\tilde{x}, \theta))} a(x, \theta) \bar{a}(\tilde{x}, \theta) \widehat{d\tilde{x} d\theta}.$$

The main idea to show that  $F_h F_h^*$  is a  $h$ -pseudodifferential operator, is to use the fact that  $(S(x, \theta) - S(\tilde{x}, \theta))$  can be expressed by the scalar product  $\langle x - \tilde{x}, \xi(x, \tilde{x}, \theta) \rangle$  after considering the change of variables  $(x, \tilde{x}, \theta) \rightarrow (x, \tilde{x}, \xi = \xi(x, \tilde{x}, \theta))$ . The distribution kernel of  $F_h F_h^*$  is

$$K(x, \tilde{x}; h) = \int_{\mathbb{R}^n} e^{\frac{i}{h}(S(x, \theta) - S(\tilde{x}, \theta))} a(x, \theta) \bar{a}(\tilde{x}, \theta) \widehat{d\theta}.$$

We obtain from (3.6) that if

$$|x - \tilde{x}| \geq \frac{\varepsilon}{2} \lambda(x, \tilde{x}, \theta) \quad (\text{where } \varepsilon > 0 \text{ is sufficiently small})$$

then

$$(4.12) \quad |(\partial_\theta S)(x, \theta) - (\partial_\theta S)(\tilde{x}, \theta)| \geq \frac{\varepsilon}{2C_2} \lambda(x, \tilde{x}, \theta).$$

Choosing  $\omega \in C^\infty(\mathbb{R})$  such that

$$\begin{aligned} \omega(x) &\geq 0, \quad \forall x \in \mathbb{R} \\ \omega(x) &= 1 \quad \text{if } x \in [-\frac{1}{2}, \frac{1}{2}] \\ \text{supp } \omega &\subset ]-1, 1[ \end{aligned}$$

and setting

$$\begin{aligned} b(x, \tilde{x}, \theta) &:= a(x, \theta) \bar{a}(\tilde{x}, \theta) = b_{1,\varepsilon}(x, \tilde{x}, \theta) + b_{2,\varepsilon}(x, \tilde{x}, \theta) \\ b_{1,\varepsilon}(x, \tilde{x}, \theta) &= \omega\left(\frac{|x - \tilde{x}|}{\varepsilon \lambda(x, \tilde{x}, \theta)}\right) b(x, \tilde{x}, \theta) \\ b_{2,\varepsilon}(x, \tilde{x}, \theta) &= [1 - \omega\left(\frac{|x - \tilde{x}|}{\varepsilon \lambda(x, \tilde{x}, \theta)}\right)] b(x, \tilde{x}, \theta). \end{aligned}$$

We have  $K(x, \tilde{x}; h) = K_{1,\varepsilon}(x, \tilde{x}; h) + K_{2,\varepsilon}(x, \tilde{x}; h)$ , where

$$K_{j,\varepsilon}(x, \tilde{x}; h) = \int_{\mathbb{R}^n} e^{\frac{i}{h}(S(x, \theta) - S(\tilde{x}, \theta))} b_{j,\varepsilon}(x, \tilde{x}, \theta) \widehat{d_h \theta}, \quad j = 1, 2.$$

We will study separately the kernels  $K_{1,\varepsilon}$  and  $K_{2,\varepsilon}$ . □

*Proof.* For all  $h$ , we have

$$K_{2,\varepsilon}(x, \tilde{x}; h) \in \mathcal{S}(\mathbb{R}^n \times \mathbb{R}^n).$$

Indeed, using the oscillatory integral method, there is a linear partial differential operator  $L$  of order 1 such that

$$L \left( e^{\frac{i}{h}(S(x, \theta) - S(\tilde{x}, \theta))} \right) = e^{\frac{i}{h}(S(x, \theta) - S(\tilde{x}, \theta))}$$

$$\text{where } L = -ih |(\partial_\theta S)(x, \theta) - (\partial_\theta S)(\tilde{x}, \theta)|^{-2} \sum_{l=1}^n [(\partial_{\theta_l} S)(x, \theta) - (\partial_{\theta_l} S)(\tilde{x}, \theta)] \partial_{\theta_l}.$$

The transpose operator of  $L$  is

$${}^t L = \sum_{l=1}^n F_l(x, \tilde{x}, \theta; h) \partial_{\theta_l} + G(x, \tilde{x}, \theta; h)$$

where  $F_l(x, \tilde{x}, \theta) \in \Gamma_0^{-1}(\Omega_\varepsilon)$ ,  $G(x, \tilde{x}, \theta) \in \Gamma_0^{-2}(\Omega_\varepsilon)$

$$\begin{cases} F_l(x, \tilde{x}, \theta; h) = ih |(\partial_\theta S)(x, \theta) - (\partial_\theta S)(\tilde{x}, \theta)|^{-2} ((\partial_{\theta_l} S)(x, \theta) - (\partial_{\theta_l} S)(\tilde{x}, \theta)) \\ G(x, \tilde{x}, \theta; h) = ih \sum_{l=1}^n \partial_{\theta_l} \left[ |(\partial_\theta S)(x, \theta) - (\partial_\theta S)(\tilde{x}, \theta)|^{-2} ((\partial_{\theta_l} S)(x, \theta) - (\partial_{\theta_l} S)(\tilde{x}, \theta)) \right] \\ \Omega_\varepsilon = \left\{ (x, \tilde{x}, \theta) \in \mathbb{R}^{3n}; |(\partial_\theta S)(x, \theta) - (\partial_\theta S)(\tilde{x}, \theta)| > \frac{\varepsilon}{2C_2} \lambda(x, \tilde{x}, \theta) \right\}. \end{cases}$$

On the other hand we prove by induction on  $q$  that

$$({}^t L)^q b_{2,\varepsilon}(x, \tilde{x}, \theta) = \sum_{\substack{|\gamma| \leq q \\ \gamma \in \mathbb{N}^n}} g_{\gamma,q}(x, \tilde{x}, \theta) \partial_\theta^\gamma b_{2,\varepsilon}(x, \tilde{x}, \theta), \quad g_\gamma^{(q)} \in \Gamma_0^{-q}(\Omega_\varepsilon),$$

and so,

$$K_{2,\varepsilon}(x, \tilde{x}) = \int_{\mathbb{R}^n} e^{\frac{i}{h}(S(x,\theta) - S(\tilde{x},\theta))} ({}^tL)^q b_{2,\varepsilon}(x, \tilde{x}, \theta) \widehat{d\theta}.$$

Using Leibnitz's formula, (G2) and the form  $({}^tL)^q$ , we can choose  $q$  large enough such that

$$\forall \alpha, \alpha', \beta, \beta' \in \mathbb{N}^n, \exists C_{\alpha, \alpha', \beta, \beta'} > 0, \sup_{x, \tilde{x} \in \mathbb{R}^n} \left| x^\alpha \tilde{x}^{\alpha'} \partial_x^\beta \partial_{\tilde{x}}^{\beta'} K_{2,\varepsilon}(x, \tilde{x}; h) \right| \leq C_{\alpha, \alpha', \beta, \beta'}.$$

Next, we study  $K_1^\varepsilon$ : this is more difficult and depends on the choice of the parameter  $\varepsilon$ . It follows from Taylor's formula that

$$\begin{aligned} S(x, \theta) - S(\tilde{x}, \theta) &= \langle x - \tilde{x}, \xi(x, \tilde{x}, \theta) \rangle_{\mathbb{R}^n}, \\ \xi(x, \tilde{x}, \theta) &= \int_0^1 (\partial_x S)(\tilde{x} + t(x - \tilde{x}), \theta) dt. \end{aligned}$$

We define the vectorial function

$$\tilde{\xi}_\varepsilon(x, \tilde{x}, \theta) = \omega\left(\frac{|x - \tilde{x}|}{2\varepsilon\lambda(x, \tilde{x}, \theta)}\right) \xi(x, \tilde{x}, \theta) + \left(1 - \omega\left(\frac{|x - \tilde{x}|}{2\varepsilon\lambda(x, \tilde{x}, \theta)}\right)\right) (\partial_x S)(\tilde{x}, \theta).$$

We have

$$\tilde{\xi}_\varepsilon(x, \tilde{x}, \theta) = \xi(x, \tilde{x}, \theta) \text{ on } \text{supp } b_{1,\varepsilon}.$$

Moreover, for  $\varepsilon$  sufficiently small,

$$(4.13) \quad \lambda(x, \theta) \simeq \lambda(\tilde{x}, \theta) \simeq \lambda(x, \tilde{x}, \theta) \text{ on } \text{supp } b_{1,\varepsilon}.$$

Let us consider the mapping

$$(4.14) \quad \mathbb{R}^{3n} \ni (x, \tilde{x}, \theta) \rightarrow (x, \tilde{x}, \tilde{\xi}_\varepsilon(x, \tilde{x}, \theta))$$

for which Jacobian matrix is

$$\begin{pmatrix} I_n & 0 & 0 \\ 0 & I_n & 0 \\ \partial_x \tilde{\xi}_\varepsilon & \partial_{\tilde{x}} \tilde{\xi}_\varepsilon & \partial_\theta \tilde{\xi}_\varepsilon \end{pmatrix}.$$

We have

$$\begin{aligned} \frac{\partial \tilde{\xi}_{\varepsilon,j}}{\partial \theta_i}(x, \tilde{x}, \theta) &= \frac{\partial^2 S}{\partial \theta_i \partial x_j}(\tilde{x}, \theta) + \omega\left(\frac{|x - \tilde{x}|}{2\varepsilon\lambda(x, \tilde{x}, \theta)}\right) \left( \frac{\partial \xi_j}{\partial \theta_i}(x, \tilde{x}, \theta) - \frac{\partial^2 S}{\partial \theta_i \partial x_j}(\tilde{x}, \theta) \right) \\ &\quad - \frac{|x - \tilde{x}|}{2\varepsilon\lambda(x, \tilde{x}, \theta)} \frac{\partial \lambda}{\partial \theta_i}(x, \tilde{x}, \theta) \lambda^{-1}(x, \tilde{x}, \theta) \omega'\left(\frac{|x - \tilde{x}|}{2\varepsilon\lambda(x, \tilde{x}, \theta)}\right) \left( \xi_j(x, \tilde{x}, \theta) - \frac{\partial S}{\partial x_j}(\tilde{x}, \theta) \right). \end{aligned}$$

Thus, we obtain

$$\begin{aligned} \left| \frac{\partial \tilde{\xi}_{\varepsilon,j}}{\partial \theta_i}(x, \tilde{x}, \theta) - \frac{\partial^2 S}{\partial \theta_i \partial x_j}(\tilde{x}, \theta) \right| &\leq \left| \omega\left(\frac{|x - \tilde{x}|}{2\varepsilon\lambda(x, \tilde{x}, \theta)}\right) \right| \left| \frac{\partial \xi_j}{\partial \theta_i}(x, \tilde{x}, \theta) - \frac{\partial^2 S}{\partial \theta_i \partial x_j}(\tilde{x}, \theta) \right| + \\ &\quad \lambda^{-1}(x, \tilde{x}, \theta) \left| \omega'\left(\frac{|x - \tilde{x}|}{2\varepsilon\lambda(x, \tilde{x}, \theta)}\right) \right| \left| \xi_j(x, \tilde{x}, \theta) - \frac{\partial S}{\partial x_j}(\tilde{x}, \theta) \right|. \end{aligned}$$

Now it follows from (G2), (4.13) and Taylor's formula that

$$\begin{aligned} \left| \frac{\partial \xi_j}{\partial \theta_i}(x, \tilde{x}, \theta) - \frac{\partial^2 S}{\partial \theta_i \partial x_j}(\tilde{x}, \theta) \right| &\leq \int_0^1 \left| \frac{\partial^2 S}{\partial \theta_i \partial x_j}(\tilde{x} + t(x - \tilde{x}), \theta) - \frac{\partial^2 S}{\partial \theta_i \partial x_j}(\tilde{x}, \theta) \right| dt \\ (4.15) \quad &\leq C_5 |x - \tilde{x}| \lambda^{-1}(x, \tilde{x}, \theta), \quad C_5 > 0 \end{aligned}$$

$$(4.16) \quad \left| \xi_j(x, \tilde{x}, \theta) - \frac{\partial S}{\partial x_j}(\tilde{x}, \theta) \right| \leq \int_0^1 \left| \frac{\partial S}{\partial x_j}(\tilde{x} + t(x - \tilde{x}), \theta) - \frac{\partial S}{\partial x_j}(\tilde{x}, \theta) \right| dt \leq C_6 |x - \tilde{x}|, \quad C_6 > 0.$$

From (4.15) and (4.16), there exists a positive constant  $C_7 > 0$ , such that

$$(4.17) \quad \left| \frac{\partial \tilde{\xi}_{\varepsilon, j}}{\partial \theta_i}(x, \tilde{x}, \theta) - \frac{\partial^2 S}{\partial \theta_i \partial x_j}(\tilde{x}, \theta) \right| \leq C_7 \varepsilon, \quad \forall i, j \in \{1, \dots, n\}.$$

If  $\varepsilon < \frac{\delta_0}{2C}$ , then (4.17) and (G3) yields the estimate

$$(4.18) \quad \delta_0/2 \leq -\tilde{C}\varepsilon + \delta_0 \leq -\tilde{C}\varepsilon + \det \frac{\partial^2 S}{\partial x \partial \theta}(x, \theta) \leq \det \partial_\theta \tilde{\xi}_\varepsilon(x, \tilde{x}, \theta), \quad \text{with } \tilde{C} > 0.$$

If  $\varepsilon$  is such that (4.13) and (4.18) are true, then the mapping given in (4.14) is a global diffeomorphism of  $\mathbb{R}^{3n}$ . Hence there exists a mapping

$$\theta : \mathbb{R}^n \times \mathbb{R}^n \times \mathbb{R}^n \ni (x, \tilde{x}, \xi) \rightarrow \theta(x, \tilde{x}, \xi) \in \mathbb{R}^n$$

such that

$$(4.19) \quad \begin{cases} \tilde{\xi}_\varepsilon(x, \tilde{x}, \theta(x, \tilde{x}, \xi)) = \xi \\ \theta(x, \tilde{x}, \tilde{\xi}_\varepsilon(x, \tilde{x}, \theta)) = x \\ \partial^\alpha \theta(x, \tilde{x}, \xi) = \mathcal{O}(1), \quad \forall \alpha \in \mathbb{N}^{3n} \setminus \{0\} \end{cases}$$

If we change the variable  $\xi$  by  $\theta(x, \tilde{x}, \xi)$  in  $K_{1, \varepsilon}(x, \tilde{x})$ , we obtain:

$$(4.20) \quad K_{1, \varepsilon}(x, \tilde{x}) = \int_{\mathbb{R}^n} e^{i\langle x - \tilde{x}, \xi \rangle} b_{1, \varepsilon}(x, \tilde{x}, \theta(x, \tilde{x}, \xi)) \left| \det \frac{\partial \theta}{\partial \xi}(x, \tilde{x}, \xi) \right| \widehat{d\xi}.$$

From (4.19) we have, for  $k = 0, 1$ , that  $b_{1, \varepsilon}(x, \tilde{x}, \theta(x, \tilde{x}, \xi)) \left| \det \frac{\partial \theta}{\partial \xi}(x, \tilde{x}, \xi) \right|$  belongs to  $\Gamma_k^{2m}(\mathbb{R}^{3n})$  if  $a \in \Gamma_k^m(\mathbb{R}^{2n})$ .

Applying the stationary phase theorem (c.f. [12], [13]) to (4.20), we obtain the expression of the symbol of the  $h$ -pseudodifferential operator  $F_h F_h^*$ :

$$\sigma(F_h F_h^*) = b_{1, \varepsilon}(x, \tilde{x}, \theta(x, \tilde{x}, \xi)) \left| \det \frac{\partial \theta}{\partial \xi}(x, \tilde{x}, \xi) \right|_{\tilde{x}=x} + R(x, \xi; h)$$

where  $R(x, \xi; h)$  belongs to  $\Gamma_k^{2m-2}(\mathbb{R}^{2n})$  if  $a \in \Gamma_k^m(\mathbb{R}^{2n})$ ,  $k = 0, 1$ .

For  $\tilde{x} = x$ , we have  $b_{1, \varepsilon}(x, \tilde{x}, \theta(x, \tilde{x}, \xi)) = |a(x, \theta(x, x, \xi))|^2$  where  $\theta(x, x, \xi)$  is the inverse of the mapping  $\theta \rightarrow \partial_x S(x, \theta) = \xi$ . Thus

$$\sigma(F_h F_h^*)(x, \partial_x S(x, \theta)) \equiv |a(x, \theta)|^2 \left| \det \frac{\partial^2 S}{\partial \theta \partial x}(x, \theta) \right|^{-1}.$$

such that

$$\begin{aligned} \tilde{\xi}_\varepsilon(x, \tilde{x}, \theta(x, \tilde{x}, \xi)) &= \xi \\ \theta(x, \tilde{x}, \tilde{\xi}_\varepsilon(x, \tilde{x}, \theta)) &= x \\ \partial^\alpha \theta(x, \tilde{x}, \xi) &= \mathcal{O}(1), \quad \forall \alpha \in \mathbb{N}^{3n} \setminus \{0\} \end{aligned}$$

If we change the variable  $\xi$  by  $\theta(x, \tilde{x}, \xi)$  in  $K_{1, \varepsilon}(x, \tilde{x})$ , we obtain

$$K_{1, \varepsilon}(x, \tilde{x}) = \int_{\mathbb{R}^n} e^{i\langle x - \tilde{x}, \xi \rangle} b_{1, \varepsilon}(x, \tilde{x}, \theta(x, \tilde{x}, \xi)) \left| \det \frac{\partial \theta}{\partial \xi}(x, \tilde{x}, \xi) \right| \widehat{d\xi}.$$

Applying the stationary phase theorem, we obtain the expression of the symbol of the  $h$ -pseudodifferential operator  $F_h F_h^*$ , is

$$\sigma(F_h F_h^*)(x, \partial_x S(x, \theta)) \equiv |a(x, \theta)|^2 \left| \det \frac{\partial^2 S}{\partial \theta \partial x}(x, \theta) \right|^{-1}.$$

The distribution kernel of the integral operator  $\mathcal{F}(F_h^* F_h) \mathcal{F}^{-1}$  is

$$\tilde{K}(\theta, \tilde{\theta}) = \int_{\mathbb{R}^n} e^{-\frac{i}{h}(S(x, \theta) - S(x, \tilde{\theta}))} \widehat{a}(x, \theta) \widehat{a}(x, \tilde{\theta}) dx.$$

Remark that we can deduce  $\tilde{K}(\theta, \tilde{\theta})$  from  $K(x, \tilde{x})$  by replacing  $x$  by  $\theta$ . On the other hand, all assumptions used here are symmetrical on  $x$  and  $\theta$ , therefore  $\mathcal{F}(F^* F) \mathcal{F}^{-1}$  is a nice  $h$ -pseudodifferential operator with symbol

$$\sigma(\mathcal{F}(F_h^* F_h) \mathcal{F}^{-1})(\theta, -\partial_\theta S(x, \theta)) \equiv |a(x, \theta)|^2 \left| \det \frac{\partial^2 S}{\partial x \partial \theta}(x, \theta) \right|^{-1}.$$

Thus the symbol of  $F^* F$  is given by (c.f. [10])

$$\sigma(F_h^* F_h)(\partial_\theta S(x, \theta), \theta) \equiv |a(x, \theta)|^2 \left| \det \frac{\partial^2 S}{\partial x \partial \theta}(x, \theta) \right|^{-1}.$$

□

**Corollary 4.2.** *Let  $F_h$  be the integral operator with the distribution kernel*

$$K(x, y; h) = \int_{\mathbb{R}^n} e^{\frac{i}{h}(S(x, \theta) - y\theta)} a(x, \theta) \widehat{d_h \theta}$$

where  $a \in \Gamma_0^m(\mathbb{R}_{x, \theta}^{2n})$  and  $S$  satisfies (G1), (G2) and (G3). Then, we have:

- (1) For any  $m$  such that  $m \leq 0$ ,  $F_h$  can be extended as a bounded linear mapping on  $L^2(\mathbb{R}^n)$
- (2) For any  $m$  such that  $m < 0$ ,  $F_h$  can be extended as a compact operator on  $L^2(\mathbb{R}^n)$ .

*Proof.* It follows from theorem 4.1 that  $F_h^* F_h$  is a  $h$ -pseudodifferential operator with symbol in  $\Gamma_0^{2m}(\mathbb{R}^{2n})$ .

1) If  $m \leq 0$ , the weight  $\lambda^{2m}(x, \theta)$  is bounded, so we can apply the Caldéron-Vaillancourt theorem (see [4, 12, 13]) for  $F_h^* F_h$  and obtain the existence of a positive constant  $\gamma(n)$  and a integer  $k(n)$  such that

$$\|(F_h^* F_h) u\|_{L^2(\mathbb{R}^n)} \leq \gamma(n) Q_{k(n)}(\sigma(F_h^* F_h)) \|u\|_{L^2(\mathbb{R}^n)}, \quad \forall u \in \mathcal{S}(\mathbb{R}^n)$$

where

$$Q_{k(n)}(\sigma(F_h^* F_h)) = \sum_{|\alpha|+|\beta| \leq k(n)} \sup_{(x, \theta) \in \mathbb{R}^{2n}} \left| \partial_x^\alpha \partial_\theta^\beta \sigma(F_h^* F_h)(\partial_\theta S(x, \theta), \theta) \right|$$

Hence, we have  $\forall u \in \mathcal{S}(\mathbb{R}^n)$

$$\|F_h u\|_{L^2(\mathbb{R}^n)} \leq \|F_h^* F_h\|_{\mathcal{L}(L^2(\mathbb{R}^n))}^{1/2} \|u\|_{L^2(\mathbb{R}^n)} \leq (\gamma(n) Q_{k(n)}(\sigma(F_h^* F_h)))^{1/2} \|u\|_{L^2(\mathbb{R}^n)}.$$

Thus  $F_h$  is also a bounded linear operator on  $L^2(\mathbb{R}^n)$ .

2) If  $m < 0$ ,  $\lim_{|x|+|\theta| \rightarrow +\infty} \lambda^m(x, \theta) = 0$ , and the compactness theorem (see [12, 13]) show that the operator  $F_h^* F_h$  can be extended as a compact operator on  $L^2(\mathbb{R}^n)$ .



Thus, the Fourier integral operator  $F_h$  is compact on  $L^2(\mathbb{R}^n)$ . Indeed, let  $(\varphi_j)_{j \in \mathbb{N}}$  be an orthonormal basis of  $L^2(\mathbb{R}^n)$ , then

$$\left\| F_h^* F_h - \sum_{j=1}^n \langle \varphi_j, \cdot \rangle F_h^* F_h \varphi_j \right\| \xrightarrow{n \rightarrow +\infty} 0.$$

Since  $F_h$  is bounded, we have  $\forall \psi \in L^2(\mathbb{R}^n)$

$$\begin{aligned} & \left\| F_h \psi - \sum_{j=1}^n \langle \varphi_j, \psi \rangle F_h \varphi_j \right\|^2 \leq \\ & \left\| F_h^* F_h \psi - \sum_{j=1}^n \langle \varphi_j, \psi \rangle F_h^* F_h \varphi_j \right\| \left\| \psi - \sum_{j=1}^n \langle \varphi_j, \psi \rangle \varphi_j \right\| \end{aligned}$$

then

$$\left\| F_h - \sum_{j=1}^n \langle \varphi_j, \cdot \rangle F_h \varphi_j \right\| \xrightarrow{n \rightarrow +\infty} 0$$

□

**Example 4.3.** We consider the function given by

$$S(x, \theta) = \sum_{\substack{|\alpha|+|\beta|=2 \\ \alpha, \beta \in \mathbb{N}^n}} C_{\alpha, \beta} x^\alpha \theta^\beta, \text{ for } (x, \theta) \in \mathbb{R}^{2n}$$

where  $C_{\alpha, \beta}$  are real constants. This function satisfies (G1), (G2) and (G3).

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